



# LFW  
Docket No. TIP0016US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Hendrika et al.

Serial No. : 10/518,525

Art Unit: 1613

Filed : December 22, 2004

Examiner: Whaley, P.

For : NEW MUTATIONAL PROFILES IN HIV-1 REVERSE  
TRANSCRIPTASE CORRELATED WITH PHENOYPIC DRUG  
RESISTANCE

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Yunling Ren

(Name of applicant, assignee, or Registered Representative)

(Yunling Ren)

(Signature)

June 27, 2006

(Date of Signature)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this

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information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

☐ In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required.

☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

- ☐ Statement in Accordance with §1.97(e) (attached); or
- ☐ Please charge Deposit Account No. 10-0750/ / the fee of \$180.00 as set forth in §1.17(p).

☒ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or an action that otherwise closes prosecution and that it is accompanied by one of:

- ☐ Statement in Accordance with §1.97(e) (attached); or
- ☒ Please charge Deposit Account No. 10-0750/ / the fee of \$180.00 as set forth in §1.17(p).

☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee. Applicant(s) hereby petition(s) for consideration of this Information Disclosure Statement. Included are: Statement in Accordance with §1.97(e) as set forth below and the fee of \$180.00 as set forth in §1.17(p).

☒ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:

- ☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.
- ☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

☐ Copies of only foreign patent documents and non-patent literature are enclosed in accordance with 37 CFR 1.98 (a) (2).

☒ There are no listed references which are not in the English language.

☐ The relevance of those listed references which are not in the English language is as follows:

☒ Attached are copies of search report(s) from corresponding patent application(s), which are listed on the attached Submission Under MPEP 609 D.

☐ Attached are the following non-published pending patent applications which may be deemed relevant, which are listed on the attached Submission Under MPEP 609 D.

Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0750/TIP0016US/YR. This form is submitted in triplicate.

Respectfully submitted,

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DATED: June 27, 2006



PTO/SB/08A (09-00)  
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Substitute for form 1449A/PTO		Application Number		10/518,525
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary) Sheet 1 of 3		Filing Date		12/22/2004
		First Named Inventor		Hendrika
		Group Art Unit		1613
		Examiner Name		Whaley, P.
		Attorney Docket Number		TIP0016US

#### U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code <sup>2</sup> (if known)			
		327,742		Uren	10/06/1885	

#### FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup>				
		EP	406985	A2	Janssen Pharmaceutica, N.V.	01/09/1991		
		EP	428000	A1	Abbott Laboratories	05/22/1991		
		WO	97/27319	A1	Virologic, Inc.	07/31/1997		
		PCT	97/27332	A1	Innogenetics, N.V.	07/31/1997		
		PCT	97/27480	A1	Virco, N.V.	07/31/1997		
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		PCT	00/73511	A1	Virco NV	12/07/2000		
		PCT	00/78994	A1	The Penn State Research Foundation	12/28/2000		
		PCT	00/78996	A1	Virologic, Inc.	12/28/2000		
		WO	01/79540	A2	Virco, N.V.	10/25/2001		
		PCT	01/81624	A1	Virco N.V.	11/01/2001		
		PCT	01/95230	A2	Virco UK Limited	12/13/2001		
		PCT	02/083657	A2	Tibotec Pharmaceuticals Ltd.	10/24/20002		
		PCT	02/22076	A2	Virologic, Inc.	03/21/2002		
		PCT	02/33402	A2	Virco N.V.	04/25/2002		
		PCT	02/38792	A2	Biolliance Pharma	05/16/2002		
		PCT	04/022523	A2	Elan Pharmaceuticals, Inc.	03/18/2004		
Examiner Signature	/Louise Humphrey/					Date Considered	07/23/2008	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicants (Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached Burden Hour Statement. This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 2 of 3

<b>Application Number</b>	10/518,525
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<b>Attorney Docket Number</b>	TIP0016US

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			T <sup>2</sup>
Examiner's Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	
		<b>ABSTRACT: International Congress on Drug Therapy in HIV Infection</b> , Volume 12, Supplement 4, AIDS online.com	
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		Eriksson, Bertil F.H., et al. <b>Phosphorylation of 3'-Azido-2',3'-Dideoxyuridine and Preferential Inhibition of Human and Simian Immunodeficiency Virus Reverse Transcriptases by Its 5'-Triphosphate.</b> Antimicrobial Agents ad Chemotherapy, Oct 1989, pp. 1729-1734	
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		Larder, Brendan A., et al. <b>Zidovudine Resistance Predicted by Direct Detection of Mutations in DNA from HIV-infected Lymphocytes.</b> AIDS, 1991, 5:137-144	
		Larder, Brendan A., et al. <b>HIV with a Reduced Sensitivity to Zidovudine (AZT) Isolated During Prolonged Therapy.</b> Reports, March 31, 1990, pp. 1731-1734	
		Lennerstrand, J., et al. <b>A Method for Combined Immunoaffinity Purification and Assay of HIV-1 Reverse Transcriptase Activity Useful for Crude Samples.</b> Analytical Biochemistry 235, 1996, pp.141-152	

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 3 of 3

Application Number	10/518,525
Filing Date	12/22/2004
First Named Inventor	Hendrika
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Examiner Name	Whaley, P.
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	Matayoshi, Edmund D., et al. <b>Novel Fluorogenic Substrates for Assaying Retroviral Proteases by Resonance Energy Transfer.</b> Science, Vol. 247, pp. 954-958	
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	Vergne, Lurence, et al. <b>Genetic Diversity of Protease and Reverse Transcriptase Sequences in Non-Subtype-B Human Immunodeficiency Virus Type 1 Strains: Evidence of Many Minor Drug Resistance Mutations in Treatment-Naïve Patients.</b> Journal of Clinical Microbiology, November 2000, p. 3919-3925, Vol. 38, No. 11	
	Wang, Gary T., et al. <b>Design and Synthesis of New Fluorogenic HIV Protease Substrates Based on Resonance Energy Transfer.</b> Tetrahedron Letters, Vol. 31, o. 45, pp. 6496-6496	

Examiner Signature	/Louise Humphrey/	Date Considered	07/23/2008
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. 2 Applicant is to place a check mark here if English language Translation is attached.

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# SUBMISSION UNDER MPEP 609 D

Page 1 of 1

Application Number	10/518,525
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Examiner Name	Whaley, P.
Attorney Docket Number	TIP 0016US

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Name of Patentee or Applicant of Cited Document	U.S. Patent Document		Pages, Columns, Lines, where relevant passages or relevant figures appear
			Number	Kind Code <sup>2</sup> (if known)	

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			Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup>		

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner 's Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITOL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		PCT Search Report dated January 4, 2005, International Application No. PCT/EP 03/50280	
		PCT Search Report dated November 10, 2003, International Appiciation No. PCT/EP 03/50277	
		Patent Application USSN 10/519,035 filed December 22, 2004 ((Docket No. TIP 0014 US)	
		Patent Application USSN 10/519,436 filed December 22, 2004 (Docket No. TIP-0015 US)	

Examiner Signature	/Louise Humphrey/	Date Considered	07/23/2008
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